Childhood Obstructive Sleep Apnoea: What Parents Want to Know

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Abstract   This article is the result of five years of accumulating knowledge, experience gained from our clinical work and research carried out by our team on childhood obstructive sleep apnoea syndrome (OSAS). It is very much a review of our personal practice and we try to provide as much evidence based materials as possible though available data is often limited. There is no doubt that a large gap is still waiting to be filled regarding this important and common condition. We hope this article would help practicing clinicians to provide answers to questions commonly asked by parents of children suspected of having OSAS.

Key words   Child; Obstructive sleep apnoea; Polysomnography

How Common Is It?

Snoring is the hallmark symptom of obstructive sleep apnoea syndrome (OSAS) and its prevalence in local school children has been found to be >15% in recently published studies.[1,2] There is however, limited local data available on the prevalence of OSAS. Wing et al[1] in their study involving obese children and randomly selected normal weight controls found the prevalence of OSAS among normal children to be between 2.3-4.5%. Unfortunately, this study only involved 44 normal healthy children. Overseas studies have reported the estimates to be between 0.7-10.3% but it is important to note that these studies had methodological flaws and may not be a true representation for our locality.[3-7] Therefore a well-designed population-based cohort study with an adequate sample size is urgently required to answer the question of how common OSAS is among our local children.

What Are the Symptoms and Signs?

We carried out a retrospective analysis of the presenting symptoms of 50 polysomnography (PSG) confirmed OSAS children who presented to our unit over a 12-month period.[8] Their mean age was 6.5 years (standard deviation 2.7) and there were 35 boys. The summary of their presenting symptoms is shown in the following figure (Figure 1). Forty-four (88%) children snored every night and the snoring could at least be heard in the same room for all of them. Other common presenting night-time symptoms included struggling to breathe during sleep (74%), restless sleep (74%), increased night sweats (58%) and sleeping with the neck extended or in the prone position (54%). Twelve (24%) children had apneoic episodes during sleep witnessed by their parents but only four required stimulation to make them breathe again. Four (8%) children had nocturnal enuresis. The most common daytime symptom was mouth breathing (86%) and daytime somnolence was a feature in only 6 children (12%).

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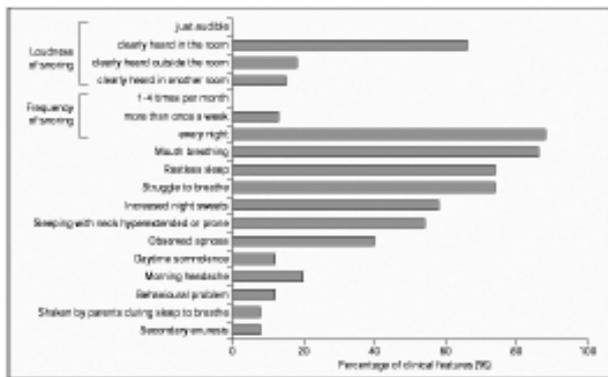
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Figure 1 Frequency of presenting clinical features of obstructive sleep apnoea syndrome (n=50).

What Are the Causes of OSAS?

The most common cause of OSAS in children is adenotonsillar hypertrophy and most cases of which are amenable to surgical treatment.[9] The peak incidence occurs between 3 and 6 years of age as that corresponds to the age range when upper airway lymphoid tissue enlargement, relative to craniofacial size, is greatest.[10] However, the size of tonsils on direct inspection does not bear any relationship with the presence and severity of OSAS.[11] Obesity is another important factor for OSAS in children; obese children are 10 times more likely than normal weight controls to suffer from the condition.[1] Other causes for OSAS include Down syndrome, craniofacial anomalies, neuromuscular disorders and laryngomalacia.

Will My Child Die from OSAS?

Adults with OSAS have been reported to be at an increased risk of dying early, compared to the general population, mainly from respiratory and cardiovascular complications. Hypertension, obesity, age between 30 and 50 years and a severe degree of OSAS increase the risk of early death in these patients.[12] The mortality rate in children with OSAS is unknown. Deaths thought to be secondary to OSAS in children occurred during the time when childhood OSAS was relatively unrecognised and were attributed to perioperative cardiorespiratory failure in children with associated craniofacial and neurological disorders.[13] Definitive causal relationship between sudden infant death and OSAS has not been established. An association between sleep-disordered breathing and apparent life-threatening events has however, been suggested.[14]

Does It Run in the Family?

Studies using familial correlation and segregation analysis have provided data to support that inheritable factors contribute to the development of OSAS and that maternal components may be more important than paternal ones.[15,16] However, the exact pattern of inheritance and whether this genetic predisposition is modifiable by other environmental factors is still unknown. The individual craniofacial skeleton maybe an important component of the final end product of this genetic predisposition.

What Are the Complications?

Neuro-cognitive abnormalities – In contrast to adult patients, affected children tend to have preserved sleep architecture and therefore excessive daytime sleepiness (EDS) is not usually a predominant feature of childhood OSAS.[17] Despite the relative absence of EDS, OSAS and even snoring appear to be associated with significant behavioural and learning problems, poor attention span, hyperactivity and even lower than average intelligence quotient.[18-24] There is some evidence to suggest reversibility of such neuro-cognitive dysfunction following treatment.[22-24]

Growth failure – Marcus et al[25] evaluated 14 prepubertal children with a mean age of 4 years who had OSAS documented by overnight PSG. Caloric intake and sleeping energy expenditure as well as anthropomorphic measurements were taken before and after adenotonsillectomy. Average sleeping energy expenditure decreased, and mean weight Z score increased postoperatively without any change in caloric intake. We had carried out a case-control resting energy expenditure study and found a trend towards higher energy consumption in the OSAS group but we could not establish a correlation between severity of OSAS and energy expenditure.[26] Bar et al[27] evaluated changes in growth and also measured insulin-like growth factor (IGF)-I before and 18 months after adenotonsillectomy. There was a statistically significant increase in weight and IGF-I levels at 18 months. The pathogenesis associated with failure to thrive in children with OSAS is likely to be an inter-play between various mechanisms. Luckily we are seeing less of this complication as people are becoming more aware of this condition and tend to seek medical intervention early.

Cardiovascular abnormalities – In adults, the presence of OSAS is without doubt associated with an increased risk of systemic hypertension.[28] Systemic blood pressure (BP)

was evaluated in a study of children referred for PSG.[29] Higher diastolic pressures (adjusted for body mass index and age) were found in children with OSAS, compared with those with primary snoring. However, the response after adenotonsillectomy was not reported. A local study demonstrated abnormal arterial distensibility and blood pressure even in primary snorers.[30] A well-designed long-term prospective study is needed to assess whether any cardiac abnormalities detected are precursor for cardio-vascular disease in adults.

How Do You Make the Diagnosis?

OSAS is unlikely in the absence of habitual snoring. Symptoms on their own however, have a poor diagnostic yield.[31] Findings on physical examination are often normal. Size of tonsils and adenoids on direct inspection are not related to the presence or severity of OSAS.[11]

The "gold standard" for the diagnosis of OSAS is overnight polysomnography (PSG) even though it has not been well standardised in its performance or interpretation especially among the paediatric population. The most acceptable diagnostic cutoff for childhood OSAS as proposed by Marcus et al[32] is an obstructive apnoea index of greater than 1. However, this criterion does not take into account episodes of hypopnoea that are important in children with OSAS. The same group recently proposed an apnoea-hyponoea index of greater 1.5 as diagnostic for OSAS.[33]

In adults, incorrectly diagnosing OSAS as primary snoring after a single night recording has been reported in some studies.[34-36] A disturbed sleep pattern which is known as the "first night effect" caused by artificial sleep laboratory environment and continuous visual surveillance is well described and may influence the result reliability of a single night study.[36-39] First night effect is known to reduce the amount of rapid eye movement (REM) sleep and with childhood OSAS being more severe during REM sleep, a single night PSG may be expected to generate a high rate of false negative studies. We recently carried out a study looking at the feasibility of a single night study in the assessment of OSAS in children. The first night PSG would have correctly identified 84.6% of OSAS cases and all cases missed by the first night study had only borderline PSG abnormality. Thus a single night sleep study is adequate and more cost effective in assessing for childhood OSAS (unpublished findings).

A variety of screening tests have been proposed,

including pulse oximetry, abbreviated sleep study, audiotaping and radiography. They were all compared to polysomnography as the gold standard with its inherent problems as mentioned above. Most tests were found to have acceptable positive predictive value but less than desirable specificity and negative predictive value.

What Treatments Are Available?

Medical treatment – Nasal corticosteroids have recently been examined as an alternative to adenotonsillectomy in otherwise healthy children with OSAS. Brouillette et al[40] in a prospective, randomised, double-blinded study treated children with mild to moderate OSAS with a 6-week course of either nasal corticosteroids or placebo. The authors were able to demonstrate a moderate improvement in cases treated with nasal corticosteroids. The apnoea hypopnoea index decreased from 11/hr to 6/hr. This was associated with concomitant decreases of about 50% in both the desaturation index and the movement arousal index. In contrast, the placebo group did not show improvement. Wheeler et al[41] found significant improvement in symptom scores of 40-50% in subjects treated with 4 months of nasal fluticasone at a dose of 200 micrograms once daily, but formal assessment using overnight polysomnography was not mentioned. Kiely et al[42] were also able to demonstrate beneficial effect in patients with OSAS and rhinitis treated with a 4-week course of intranasal fluticasone. Topical intranasal steroids may become the alternative therapy for some children with mild OSAS. This is equally important if individuals also suffer from allergic rhinitis that causes nasal oedema and hence increases nasal airflow resistance. Treating the underlying rhinitis will lessen the severity of obstruction.

The importance of weight reduction by means of dietary intervention and increased physical activity should be emphasised in cases where obesity is the main aetiological factor. In our clinical experience, however, the majority of patients have difficulty achieving significant weight loss through such means.

Surgical treatment – A few studies provide evidence that adenotonsillectomy is superior to either adenoidectomy or tonsillectomy alone.[43,44] Summarising results from all published studies, it appears that adenotonsillectomy is curative in around 85% of children. Some children with persistent disease after adenotonsillectomy may benefit from uvulopalatopharyngoplasty, maxillary or mandibular surgery, or tracheostomy.[45]

Mechanical treatment – In childhood OSAS, nasal continuous positive airway pressure (CPAP) or bi-level positive airway pressure (BiPAP) have established themselves as the second line treatment or in cases where adenotonsillectomy is contraindicated.[46-48] CPAP provides positive pressure to the lumen of the airway, and decreases airway collapsibility. Bi-level positive airway pressure may be more comfortable to use, especially with higher pressures. BiPAP also allows setting of a backup rate, and provides some ventilatory assistance. This is especially important for patients with sleep-related hypoventilation caused by muscle weakness, neurological disease, or obesity.

Will It Recur After Treatment?

It is our practice to review patients who have undergone adenotonsillectomy at 4-6 months after the operation. If they remain symptomatic, a repeat PSG would be offered and mechanical intervention would be instituted if residual OSAS is demonstrated. The following group of patients have been identified to be at a higher risk for residual problem; initial severe OSAS, obesity and those with a positive family history of OSAS.[49] In a study by Contencin et al,[50] 8.5% of children who had undergone adenotonsillectomy experienced residual or recurrent symptoms of OSAS at 3 years following the operation. In a recently published local study,[51] boys undergoing surgical adenotonsillectomy at an early age (<5 years) were found to be at a higher risk for residual OSAS.

As our knowledge on this condition increases, more questions are going to be generated. Further advancement in this important field of paediatric medicine can only be made with collaborative research. We hope this article would provide clinicians with up-to-date information to deal with commonly asked questions regarding childhood OSAS.

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